Due Diligence Questionnaire

Introduction

The MISC Group has a zero tolerance policy against all forms of bribery and corruption. The MISC Code of Conduct and Business Ethics (“CoBE”) and Anti-Bribery and Corruption Policy and Guidelines (“ABC Manual”) available at apply throughout the Group and reflect MISC’s commitment to fight any corrupt and unethical practices in the course of conducting our business.

The due diligence process on client lies at the heart of minimising MISC’ risk exposure as a result of activities carried out by MISC on clients’ behalf. At minimum, the due diligence exercise on our client is to ensure that the activities performed by MISC on behalf of the client will not breach our own CoBE’s requirements.

Please complete the following questionnaire completely and truthfully to the best of your ability. The completion of this Questionnaire is one of the steps in the review process. Completion of this form should not in any way be construed as establishment of a business relationship with MISC.

Instructions

Please provide answers to all of the questions. Some answers may simply consist of a “Yes or No”. Please do not omit any question. If a question is not applicable, write “N/A” in the space provided. If the space provided for a question is insufficient, you may attach additional pages. For any questions or issues completing the questionnaires, please contact [ ].

Data Protection Statement

You are completing this Questionnaire because MISC is considering entering into a business relationship with you. The information that you provide and where necessary, obtained from third parties and also from the public domain will be used to determine whether your organization meets MISC’s CoBE requirement. The information collected through the Questionnaire and from other sources (e.g. the references and other parties) will not be used or processed for any other purposes. If you need to amend or correct the information that you have provided to MISC, please inform your MISC business contact.

We may disclose and/or transfer your personal data to MISC group of companies, associated companies, any other person under a duty of confidentiality to MISC, our consultants and/or legal advisers (whether in or out of Malaysia).

The Questionnaire and other associated information will be maintained by MISC as necessary and in accordance with MISC’s policies and procedures and Personal Data Protection Act 2010.
Definitions

For the sake of clarity, we have provided definitions for the following terms:

“Applicant” refers to ______, and all of its affiliated companies in which Howe Robinson owns, directly or indirectly, more than fifty percent (50%) of the voting shares.

"MISC" and the "Company" include MISC Group and all of its affiliated companies in which MISC owns, directly or indirectly, more than fifty percent (50%) of the voting shares.

"Facilitation Payments" means payments made to Foreign Officials to expedite or secure the performance of a routine governmental action to which the payer is entitled ordinarily and which is commonly performed by the Foreign Official.

“Government” means any agency, department, instrumentality, subdivision or other body of any federal, regional, or municipal government, any commercial or similar entities that the government controls or owns (whether partially or completely), including any state-owned and state-operated companies or enterprises (e.g., the national oil company).
1.0 COMPANY INFORMATION

1.1 General Information. Please review and update the below as needed.

<table>
<thead>
<tr>
<th>Registered Company Name:</th>
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<table>
<thead>
<tr>
<th>Registration Number:</th>
<th>Country where formed:</th>
<th>Date formed</th>
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<tr>
<th>Group of Companies with which company is associated with:</th>
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<table>
<thead>
<tr>
<th>Principal Business Address:</th>
<th>Registered Address:</th>
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<th>Contact Name:</th>
<th>Contact Details:</th>
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<th>Website:</th>
<th>Total Assets:</th>
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1.2 Country of Operations


2.0 INCORPORATION, OWNERSHIP AND EMPLOYEE INFORMATION

2.1 Is the Applicant an entity, such as a corporation, partnership, non-profit organization, joint venture, subsidiary, sister company, government entity, NGO, etc. ('Entity')?

☐ Yes    ☐ No

2.2 Please list all shareholders or owners who hold a financial interest in the Applicant’s business of 10% or more. Where applicable, please provide ownership information that identifies all individual owner of Applicant (or major shareholders if a public company).

<table>
<thead>
<tr>
<th>Owner Name</th>
<th>% Ownership</th>
<th>Address</th>
<th>Country of Incorporation/ Nationality</th>
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</table>

2.3 Has the Applicant changed its business name in the last five (5) years?

☐ Yes    ☐ No
3.0 SERVICES

Please answer the following about the services MISC will perform on behalf of the Applicant

3.1 Please state how long the Applicant been in business in its home jurisdiction and how long it has been providing the services similar to the proposed services to be performed by MISC?

- [ ] >5 years
- [ ] 5 – 10 years
- [ ] 10 – 15 years
- [ ] 15 - 20 years
- [ ] > 20 years

3.2 Please describe in detail the nature of services that you expect MISC to perform.

4.0 GOVERNMENT

4.1 Does the Applicant have any owners, shareholders, or other key personnel (or any affiliated entity) government officials or have connections (family, business, or otherwise) with government officials?

- [ ] Yes
- [ ] No

4.2 Identify who is or was the public official or who has connections a current or former public official

**Government Connections/Relations**

<table>
<thead>
<tr>
<th>Person Name</th>
<th>Person Title</th>
<th>Public Official Name</th>
<th>Government Position Held</th>
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5.0 VIOLATIONS

5.1 Within the last five (5) years, has the Applicant, or any of its directors, officers, principal owners or employees, ever been found by a court or agency to have violated any anti-bribery laws or securities laws?

- [ ] Yes
- [ ] No

If yes, please provide details:

5.2 Within the last five (5) years, has the Applicant or any of the principal officers, directors or shareholders ever been investigated or charged with any offense, including bribery, conflicts of interest, corruption, kickbacks, or money-laundering?

- [ ] Yes
- [ ] No

If yes, please provide details:
5.3 Has the Applicant ever paid money or given anything of value to a Government Official in order to retain business or obtain an improper advantage in any jurisdiction?

☐ Yes  ☐ No

If yes, please provide details:


5.4 Has the Applicant ever been suspended or debarred from doing business in any capacity as a result of fraud, misrepresentation, corruption, bribery, money laundering or any other related activities in any jurisdiction?

☐ Yes  ☐ No

If yes, please provide details:


5.5 Has the Applicant ever made any public disclosures involving fraudulent or corrupt misconduct or improper accounting to any government authority?

☐ Yes  ☐ No

If yes, please provide details:


6.0 **COMPLIANCE SYSTEM AND ANTI-CORRUPTION PREVENTION**

Policies and Procedures. Please answer the following regarding your policies and procedures.

6.1 Is Applicant familiar with the prohibitions of the Foreign Corrupt Practices Act and UK Bribery Act 2010?

☐ Yes  ☐ No

6.2 Does the Applicant have an Anti-Corruption Policy? *

☐ Yes  ☐ No

6.3 Does the Applicant have procedures in place to monitor the effectiveness of its anti-corruption policy?

☐ Yes  ☐ No

6.4 Does Applicant provide training of anti-bribery laws to its employees?

☐ Yes  ☐ No

6.5 Does Applicant have procedures in place to allow reporting of any misconduct? *

☐ Yes  ☐ No

6.6 Does Applicant allow facilitation payments? *

☐ Yes  ☐ No
6.7 Does the Applicant have a Code of Conduct?
☐ Yes  ☐ No

7.0 CERTIFICATION

The Applicant, who is duly authorized and has full legal capacity to complete this Questionnaire, certifies as to the matters set forth in this Questionnaire, signs and certifies this document as follows:

a) to the best of my knowledge, all information set forth in this response is accurate and complete;

b) I have read and understood the Data Protection statement above and expressly consent to the collections, use, processing, storage and transfer of data, including the data about my company, my personally identifiable information and that of other persons that I identify in the Questionnaire, in the manner and for the purposes described in this Questionnaire and in the Data Protection statement.

c) I acknowledge and understand that the provision of false or misleading information may result in the termination of any relationship that may be entered into in the future between me and/or my company and MISC. Further, I understand that MISC reserves the right to recur to such other remedies as may be appropriate in the event of such termination.

Do you certify to the above statements? *
☐ Yes  ☐ No

<table>
<thead>
<tr>
<th>Name :</th>
<th>Position :</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature :</td>
<td>Date :</td>
</tr>
</tbody>
</table>

Attachments
Please attach the following documents:-

☐ Applicant’s Code of Conduct  ☐ Applicant’s Certificate of Registration
☐ Applicant’s Anti-Corruption policy  ☐ Applicant’s Articles of Association
                                      ☐ Applicant’s Business License
Due Diligence Questionnaire

Introduction

The MISC Group has a zero tolerance policy against all forms of bribery and corruption. The MISC Code of Conduct and Business Ethics (CoBE) and Anti-Bribery and Corruption Policy and Guidelines (“ABC Manual”) apply throughout the Group and reflect MISC’s commitment to fight any corrupt and unethical practices in the course of conducting our business.

As part of MISC compliance requirement, we undertake due diligence prior to entering into any business relationship with contractors, sub-contractors, consultants and agents to ensure that our counterparties will meet MISC’s Code of Conduct and Business Ethics requirements and obligations.

Please complete the following questionnaire completely and truthfully to the best of your ability. The completion of this Questionnaire is one of the steps in the review process. Completion of this form should not in any way be construed as establishment of a business relationship with MISC.

Instructions

Please provide answers to all of the questions. Some answers may simply consist of a “Yes or No”. Please do not omit any question. If a question is not applicable, write “N/A” in the space provided. If the space provided for a question is insufficient, you may attach additional pages. For any questions or issues completing the questionnaires, please contact [__________].

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"MISC" and the "Company" include MISC Group and all of its affiliated companies in which MISC owns, directly or indirectly, more than fifty percent (50%) of the voting shares.

“Associated person(s)” means the Intermediary and any owner (including any principal, shareholder or other person or entity having a direct or indirect financial interest), officer, director, partner, principal, employee,
agent or subcontractor or any other person or entity, directly or indirectly, controlling, controlled by, or under common control with, the Intermediary.

"Facilitation Payments" means payments made to Foreign Officials to expedite or secure the performance of a routine governmental action to which the payer is entitled ordinarily and which is commonly performed by the Foreign Official.

"Government" means any agency, department, instrumentality, subdivision or other body of any federal, regional, or municipal government, any commercial or similar entities that the government controls or owns (whether partially or completely), including any state-owned and state-operated companies or enterprises (e.g., the national oil company).

"Intermediary" means, for the purposes of this questionnaire, a third party individual or firm (irrespective of nationality or place of incorporation) possessing special expertise, knowledge, skill or training, including operational experience. The term will include any person or entity that serves as a commercial, customs, environmental, immigration, lobbying, marketing, sales, tax or other agent, advisor, broker, consultant, co-venture or any other person or firm, irrespective of how identified, who has or is likely to have contact with a Foreign Official, and is hired or otherwise retained to provide services directly related to obtaining, retaining or facilitating business or business opportunities. Such business or business opportunities may include:

1. The representation of MISC in connection with the acquisition of permits, licenses and concessions for the evaluation, exploration and development of petroleum resources from foreign firms or foreign governments;

2. The provision of advice to MISC management in connection with business development, acquisition or retention of the same in foreign countries.
1.0 COMPANY INFORMATION

1.1 General Information. Please review and update the below as needed.

Registered Company Name:

<table>
<thead>
<tr>
<th>Registration Number:</th>
<th>Country where formed:</th>
<th>Date formed</th>
</tr>
</thead>
</table>

Group of Companies with which company is associated with:

Principal Business Address:

<table>
<thead>
<tr>
<th>Registered Address:</th>
</tr>
</thead>
</table>

Contact Name:

<table>
<thead>
<tr>
<th>Contact Details:</th>
</tr>
</thead>
</table>

Website:

<table>
<thead>
<tr>
<th>Total Assets:</th>
</tr>
</thead>
</table>

1.2 Country of Operations

2.0 INCORPORATION, OWNERSHIP AND EMPLOYEE INFORMATION

2.1 Is the Applicant an entity, such as a corporation, partnership, non-profit organization, joint venture, subsidiary, sister company, government entity, NGO, etc. (‘Entity’)?

☐ Yes  ☐ No

2.2 Are the shares of the Applicant or Applicant’s ultimate parent company (“Parent”) publicly traded on a major stock exchange in an OECD member country?

☐ Yes  ☐ No

If yes, please identify the stock exchange(s) on which the shares of Applicant or Parent are publicly traded.

2.3 Please list all shareholders or owners who hold a financial interest in the Applicant’s business of 5% or more. Where applicable, please provide ownership information that identifies all individual owner of Applicant.

If a business or legal entity (such as a corporation or partnership) owns any portion of the Applicant, please trace ownership up through as many layers as necessary to identify all ultimate individual owners of such entities. Please use a separate sheet of paper attached to this Questionnaire if necessary.
2.4 Please list Applicant directors, officers and key management. Also include key personnel assigned to work on the MISC project, if known. Provide the full name and title.

<table>
<thead>
<tr>
<th>Name</th>
<th>Title or Position Held</th>
<th>Nationality</th>
</tr>
</thead>
</table>

2.5 Do any other individuals not mention Question 2.4 have a beneficial interest in the Applicant or in its revenues or profits? "Beneficial" interest means the direct or indirect sharing in voting power in the company or investment power with respect to the company (power to sell an ownership interest). If yes, please list the individuals and their country of citizenship and explain the nature of such interest.

2.6 Are there any other individuals able to exercise control over the Applicant through any other arrangement? If yes, please list the individuals and their country of citizenship and explain the nature of such control.

2.7 Has the Applicant changed its business name in the last five (5) years?

☐ Yes  ☐ No

3.0 SERVICES

Please answer the following about the services the Applicant will provide MISC

3.1 Please state how long the Applicant been in business in its home jurisdiction and how long it has been providing the services similar to the proposed services for MISC?

☐ >5 years  ☐ 5 – 10 years  ☐ 10 – 15 years  ☐ 15 - 20 years  ☐ > 20 years

3.2 Please describe in detail the services you expect to provide to MISC.

3.3 Has the Applicant had a prior business relationship with MISC?

☐ Yes  ☐ No

If yes, please describe the contract(s) and provide the dates of the contract(s)
3.4 Do you know anyone currently or previously employed by MISC, its subsidiaries and/or its affiliates ("MISC Contact")?
   ☐ Yes ☐ No
   If Yes, Please provide name of MISC contact and position held: *

3.5 Will the MISC Contact be involved in the project for which the Applicant will be providing services?
   ☐ Yes ☐ No

4.0 GOVERNMENT

4.1 Does the Applicant have any officers, directors, Shareholders, owners, or employees that are a current or former “Public Officials” (as defined above) or has ties with current or former Public Officials?
   ☐ Yes ☐ No

4.2 Identify who is or was the public official or who has connections a current or former public official

**Government Connections/Relations**

<table>
<thead>
<tr>
<th>Person Name</th>
<th>Person Title</th>
<th>Public Official Name</th>
<th>Government Position Held</th>
<th>Relationship to Public Official</th>
</tr>
</thead>
</table>

4.3 Does Applicant (either directly or through any operating Entity) have government customers?
   ☐ Yes ☐ No

4.4 Would the Applicant’s services require authorization, approval or other action with any governmental authority for due execution and performance?
   ☐ Yes ☐ No

5.0 SUBCONTRACTOR

5.1 Will the Applicant hire additional third parties, Including sub-agents, consultants, or sales representatives with respect to the services to be provided to MISC?
   ☐ Yes ☐ No

5.2 Does Applicant review Subcontractors anti-corruption/ anti-bribery policies?
   ☐ Yes ☐ No

5.3 If Subcontractor does not have adequate anti-corruption/anti-bribery policies, does Applicant require Subcontract to adhere to Applicant’s anti-corruption/anti-bribery policies?
   ☐ Yes ☐ No
5.4 Does Applicant include anti-corruption clauses in contracts with Subcontractors?

☐ Yes  ☐ No

6.0 VIOLATIONS

6.1 Within the last five (5) years, has the Applicant, or any of its directors, officers, principal owners or employees, ever been found by a court or agency to have violated any anti-bribery laws or securities laws?

☐ Yes  ☐ No

If yes, please provide details:

6.2 Within the last five (5) years, has the Applicant or any of the principal officers, directors or shareholders ever been investigated or charged with any offense, including bribery, conflicts of interest, corruption, kick-backs, or money-laundering?

☐ Yes  ☐ No

If yes, please provide details:

6.3 Has the Applicant ever paid money or given anything of value to a Government Official in order to retain business or obtain an improper advantage in any jurisdiction?

☐ Yes  ☐ No

If yes, please provide details:

6.4 Has the Applicant ever been suspended or debarred from doing business in any capacity as a result of fraud, misrepresentation, corruption, bribery, money laundering or any other related activities in any jurisdiction?

☐ Yes  ☐ No

If yes, please provide details:

6.5 Has the Applicant ever made any public disclosures involving fraudulent or corrupt misconduct or improper accounting to any government authority?

☐ Yes  ☐ No

If yes, please provide details:
7.0 COMPLIANCE SYSTEM AND ANTI-CORRUPTION PREVENTION
Policies and Procedures. Please answer the following regarding your policies and procedures.

7.1 Is Applicant familiar with the prohibitions of the Foreign Corrupt Practices Act?
☐ Yes ☐ No

7.2 Is the Applicant familiar with prohibitions of the U.K. Bribery Act and/or the OECD Convention on Combating Bribery of Foreign Public Officials?
☐ Yes ☐ No

7.3 Does the Applicant have an Anti-Corruption Policy? *
☐ Yes ☐ No

7.4 Does the Applicant have procedures in place to monitor the effectiveness of its anti-corruption policy?
☐ Yes ☐ No

7.5 Does Applicant provide training of anti-bribery laws to your employees?
☐ Yes ☐ No

7.6 Does Applicant have procedures in place to allow reporting of any misconduct? *
☐ Yes ☐ No

7.7 Does Applicant allow facilitation payments? *
☐ Yes ☐ No

7.8 Does the Applicant have a background check program? *
☐ Yes ☐ No

7.9 Does the Applicant have a Code of Conduct?
☐ Yes ☐ No

8.0 CUSTOMS

8.1 Will the Applicant need to interact with any government Officials or agencies in order to perform the services under contract?
☐ Yes ☐ No

8.2 If known at this time, please list the potential government agencies, and customs duties, imposts or other fees, if any that may require payment to obtain such permits, clearances or certifications.
Please list below:

8.3 Would you manage the application INTERNALLY to obtain each of the required permits, clearances or certifications listed above?
☐ Yes ☐ No
8.4 Would you ENGAGE A THIRD PARTY to manage the application to obtain each of the required permits, clearances or certifications listed above? (e.g., shipping agents, freight forwarders, logistics companies)?

☐ Yes  ☐ No

Please identify any known representatives for use in connection with the contemplated services to be provided to MISC

<table>
<thead>
<tr>
<th>Entity Type</th>
<th>Entity Name</th>
<th>Entity Address</th>
<th>Country of Incorporation</th>
<th>Due Diligence Performed?</th>
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<tbody>
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</table>

8.5 Does Applicant pay the required customs duties, imposts and other fees?

☐ Yes  ☐ No

Please select *

☐ Directly to the relevant government agency
☐ Through the Sub Intermediaries

8.6 Is it your practice to obtain receipts for customs duties/fees paid, whether paid directly by the Applicant or indirectly by the Subcontractor or other third party?

☐ Yes  ☐ No

8.7 Have you ever encountered any difficulties in obtaining such receipts?

☐ Yes  ☐ No

8.8 Is it Applicant’s policy/practice to provide copies of receipts to the client/customer?

☐ Yes  ☐ No

9.0 PAYMENTS

9.1 Consistent with MISC’s anti-bribery and corruption and anti-money laundering standards, our policy is to pay invoices by bank transfer to a bank acceptable to MISC which bears the name of the recipient and is located in either (i) the jurisdiction in which the Applicant is incorporated or (ii) the jurisdiction where the Applicant performs services on behalf of MISC. Does Applicant agree to receiving payment according to this policy?

☐ Yes  ☐ No

10.0 Certification

CERTIFICATION: The Applicant, who is duly authorized and has full legal capacity to complete this Questionnaire, certifies as to the matters set forth in this Questionnaire, signs and certifies this document as follows:

a) to the best of my knowledge, all information set forth in this response is accurate and complete; and

b) if the Applicant enters into an agreement with MISC, the undersigned covenants and agrees that the Applicant and its employees, officers, directors, agents, contractors, subcontractors and/or representatives will not offer, give, promise, authorize, transfer, pay or promise to pay anything of value to a Public Official, as that term is defined above, in connection with any business transaction associated with the representation of MISC.
c) Applicant further represents and warrants that it and its Affiliates will not, either directly or indirectly through one or more intermediaries, make, offer, give, promise, authorize, or transfer, in connection with any business transaction associated with the representation of MISC, or operations associated therewith, any facilitation payment to or for the use or benefit of any Official.

d) I have read and understood the Data Protection statement above and expressly consent to the collections, use, processing, storage and transfer of data, including the data about my company, my personally identifiable information and that of other persons that I identify in the Questionnaire, in the manner and for the purposes described in this Questionnaire and in the Data Protection statement.

e) I authorize MISC (directly or indirectly) to take such steps as may be necessary to verify the information provided in this Questionnaire, by the Applicant’s references and/or any other person.

f) I acknowledge and understand that the provision of false or misleading information may result in the termination of any relationship that may be entered into in the future between me and/or my company and MISC. Further, I understand that MISC reserves the right to recur to such other remedies as may be appropriate in the event of such termination.

g) Applicant agrees to comply with MISC’s Anti-Bribery and Corruption Manual and the substantive provisions of the U.S. Foreign Corrupt Practices Act (the "FCPA) and the U.K. Bribery Act 2010 (the "Bribery Act"), whether or not Intermediary or any Associated Person(s) is subject to the FCPA or the Bribery Act or other applicable anti-corruption laws.

Applicant covenants and agrees that all Associated Person(s) that provide services under or relating to the Contract

i) will be advised of the FCPA, the Bribery Act and the compliance policies of MISC as set forth in the Anti-Corruption Manual, including the prohibition of facilitation payments;

ii) will comply with the Anti-Corruption Manual and the substantive provisions of the FCPA and the Bribery Act; and

iii) will take no action, directly or indirectly, that might cause MISC to be in violation of the FCPA, the Bribery Act or other applicable anti-corruption laws.

Do you certify to the above statements? *

☐ Yes  ☐ No

| Name : | Position : |
| Signature : | Date: |

Attachments
Please attach the following documents, selecting those that have been uploaded:

☐ Organizational Chart
☐ Code of Conduct
☐ Anti-Corruption policy
☐ Certificate of Registration
☐ Certificate of Good Standing
☐ Articles of Association
☐ Business License
Due Diligence Questionnaire

Introduction

MISC Policy Statement on Anti-Bribery and Corruption

We at MISC Group (MISC) are committed to applying the highest standards of ethical conduct, integrity and accountability in all our business activities and operations. This Policy applies to all MISC business dealings and relationships.

MISC has a zero tolerance policy towards any form of bribery and corruption by, or of, its employees or any persons or companies acting for MISC or on its behalf. The MISC Code of Conduct and Business Ethics (MISC CoBE) and Anti-Bribery and Corruption Policy and Guidelines apply throughout the Group and reflect our commitment to fight any corrupt and unethical practices in the course of conducting business in the jurisdictions in which we operate.

The Board and management are committed to implementing and enforcing effective and robust policies and procedures to prevent, monitor and eliminate bribery and corruption. Employees and others acting for or on behalf of MISC are strictly prohibited from directly or indirectly soliciting, accepting or offering bribes in relation to MISC’s businesses and operations.

Employees across MISC are expected to observe the MISC CoBE and uphold MISC’s zero tolerance towards bribery and corruption. Employees who fail to comply with the CoBE will be subject to the appropriate disciplinary measures.

Third Party Due Diligence

MISC requires its contractors, sub-contractors, consultants, brokers, agents or any service provider to comply with MISC’s CoBE requirements and obligations. As such we undertake due diligence prior to entering into any business relationship with our counterparties to evaluate and to ensure that they are able to meet MISC’s CoBE requirements and obligations.

Please complete the following questionnaire completely and truthfully to the best of your ability. The completion of this Due Diligence Questionnaire (“Questionnaire”) is one of the steps in the review process. Completion of this form should not in any way be construed as establishment of a business relationship with MISC.

Instructions

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“Applicant” refers to _______, and all of its affiliated companies in which ________ owns, directly or indirectly, more than fifty percent (50%) of the voting shares.

“MISC” include MISC Group and all of its affiliated companies in which MISC owns, directly or indirectly, more than fifty percent (50%) of the voting shares.

“Associated person(s)” means the Intermediary and any owner (including any principal, shareholder or other person or entity having a direct or indirect financial interest), officer, director, partner, principal, employee, agent or subcontractor or any other person or entity, directly or indirectly, controlling, controlled by, or under common control with, the Intermediary.

"Facilitation Payments” means payments made to secure or expedite the performance by a person performing a routine or administrative duty or function.

“Government” means any agency, department, instrumentality, subdivision or other body of any federal, regional, or municipal government, any commercial or similar entities that the government controls or owns (whether partially or completely), including any state-owned and state-operated companies or enterprises (e.g., the national oil Applicant).

"Intermediary” means, for the purposes of this Questionnaire, a third party individual or firm (irrespective of nationality or place of incorporation) possessing special expertise, knowledge, skill or training, including operational experience. The term will include any person or entity that serves as a commercial, customs, environmental, immigration, lobbying, marketing, sales, tax or other agent, advisor, broker, consultant, co-venture or any other person or firm, irrespective of how identified, and is hired or otherwise retained to provide services directly related to obtaining, retaining or facilitating business or business opportunities with MISC.

“Public Official” means an official or employee of any federal any federal, regional, or municipal government, any commercial or similar entities that the government controls or owns (whether partially or completely), including any state-owned and state-operated companies or enterprises.

“Bribe” means any gift, payment, benefit or other advantage, pecuniary or otherwise, offered, given, or received in order to secure an undue or improper result, award, decision, benefit or advantage of any kind.
1.0 APPLICANT INFORMATION

1.1 General Information. Please review and update the below as needed.

<table>
<thead>
<tr>
<th>Registered Applicant Name:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registration Number:</td>
</tr>
<tr>
<td>Country where formed:</td>
</tr>
<tr>
<td>Group of Companies with which Applicant is associated with:</td>
</tr>
<tr>
<td>Principal Business Address:</td>
</tr>
<tr>
<td>Contact Person’s Name:</td>
</tr>
<tr>
<td>Website:</td>
</tr>
</tbody>
</table>

1.2 Country of Operations

2.0 INCORPORATION, OWNERSHIP AND EMPLOYEE INFORMATION

2.1 Please state whether the Applicant is a corporation, partnership, non-profit organization, or government entity?

☐ Yes ☐ No

2.2 Are the shares of the Applicant or Applicant’s ultimate parent company (“Parent”) publicly traded on a major stock exchange in an OECD (Organisation for Economic Co-operation and Development) member country?

☐ Yes ☐ No

If yes, please identify the stock exchange(s) on which the shares of Applicant or Parent are publicly traded.

2.3 Please list all shareholders or owners who hold a financial interest in the Applicant’s business of 5% or more.

If a business or legal entity (such as a corporation or partnership) owns any portion of the Applicant, please trace ownership up through as many layers as necessary to identify all ultimate individual owners of such entities. Please use a separate sheet of paper attached to this Questionnaire if necessary.
<table>
<thead>
<tr>
<th>Owner Name</th>
<th>% Ownership</th>
<th>Address</th>
<th>Country of Incorporation/Nationality</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

2.4 Please list Applicant directors, officers and key management. Also include key personnel assigned to work with MISC, if known. Provide the full name and title.

<table>
<thead>
<tr>
<th>Name</th>
<th>Title or Position Held</th>
<th>Nationality</th>
</tr>
</thead>
<tbody>
<tr>
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</table>

2.5 Do any other individuals not mentioned in Question 2.4 have a beneficial interest in the Applicant or in its revenues or profits? "Beneficial" interest means the direct or indirect sharing in voting power in the Applicant or investment power with respect to the Applicant (power to sell an ownership interest). If yes, please list the individuals and their country of citizenship and explain the nature of such interest.

- Yes
- No

2.6 Are any other individuals able to exercise control over the Applicant through any other arrangement? If yes, please list the individuals and their country of citizenship and explain the nature of such control.

- Yes
- No

2.7 Has the Applicant changed its business name in the last five (5) years?

- Yes
- No

3.0 SERVICES

Please answer the following about the services that the Applicant will provide MISC.

3.1 Please state how long the Applicant has been in business in its home jurisdiction?

- <5 years
- 5 – 10 years
- 10 – 15 years
- 15 - 20 years
- > 20 years

3.2 Please state how long it has been providing the services similar to the proposed services with MISC?

- <5 years
- 5 – 10 years
- 10 – 15 years
- 15 - 20 years
- > 20 years

3.3 Please describe the nature of services that you expect to provide to MISC.

3.4 Has the Applicant had a prior business relationship with MISC?

- Yes
- No
If yes, please describe the contract(s) and provide the dates of the contract(s)


3.5 Do you know anyone currently or previously employed by MISC, its subsidiaries and/or its affiliates ("MISC Contact")?
☐ Yes  ☐ No

If Yes, Please provide name of the MISC Contact and position held: *


3.6 Will the MISC Contact be involved in the project for which the Applicant will be providing services?
☐ Yes  ☐ No

4.0 GOVERNMENT

4.1 Does the Applicant have any officers, directors, shareholders, owners, or employees that are a current or former “Public Officials” (as defined above) or has ties with current or former Public Officials?
☐ Yes  ☐ No

4.2 If your answer for Question 4.1 above is yes, please identify the officers, directors, shareholders, owners, or employees below:

Government Connections/Relations

<table>
<thead>
<tr>
<th>Person Name</th>
<th>Person Title</th>
<th>Public Official Name</th>
<th>Government Position Held</th>
<th>Relationship to Public Official</th>
</tr>
</thead>
</table>

4.3 Does Applicant (either directly or through any operating entity) have any government or government related customers?
☐ Yes  ☐ No

4.4 Would the Applicant’s services require authorization, approval or other action with any governmental authority for due execution and performance?
☐ Yes  ☐ No

5.0 COMPLIANCE SYSTEM AND ANTI-CORRUPTION PREVENTION
Policies and Procedures. Please answer the following regarding your policies and procedures.

5.1 Is the Applicant familiar with prohibitions of the Foreign Corrupt Practices Act 1977, U.K. Bribery Act 2010 and/or the OECD Convention on Combating Bribery of Public Officials?
☐ Yes  ☐ No
5.2 Does the Applicant have an Anti-Corruption Policy? *
☐ Yes  ☐ No

5.3 Does the Applicant have procedures in place to monitor the effectiveness of its anti-corruption policy?
☐ Yes  ☐ No

5.4 Does the Applicant provide training of anti-bribery laws to your employees?
☐ Yes  ☐ No

5.5 Does the Applicant have procedures in place to allow reporting of any misconduct? *
☐ Yes  ☐ No

5.6 Does the Applicant allow Facilitation Payments? *
☐ Yes  ☐ No

5.7 Does the Applicant have a background check program? *
☐ Yes  ☐ No

5.8 Does the Applicant have a Code of Conduct and Business Ethics?
☐ Yes  ☐ No

6.0 SUBCONTRACTOR

6.1 Will the Applicant engage additional third parties, including subcontractors, sub-agents, consultants, or sales representatives with respect to the goods and/or services to be provided to MISC?
☐ Yes  ☐ No

6.2 Does Applicant review its subcontractor’s anti-corruption/ anti-bribery policies?
☐ Yes  ☐ No

6.3 If the Applicant’s subcontractor does not have adequate anti-corruption/anti-bribery policies, does Applicant require subcontractor to adhere to Applicant’s anti-corruption/anti-bribery policies?
☐ Yes  ☐ No

6.4 Does the Applicant include anti-corruption/anti-bribery clauses in contracts with its subcontractors?
☐ Yes  ☐ No

7.0 VIOLATIONS

7.1 Within the last five (5) years, has the Applicant, or any of its directors, officers, owners, shareholders or employees, ever been found by a court or agency to have violated any anti-bribery laws or securities laws?
☐ Yes  ☐ No
7.2 Within the last five (5) years, has the Applicant or any of its officers, directors, owners, employees or shareholders ever been investigated or charged with any offence, including but not limited to offences relating to corruption/bribery, conflicts of interest, Facilitation Payment, or money-laundering?
☐ Yes  ☐ No
If yes, please provide details:

7.3 Has the Applicant ever paid money or given anything of value to a Public Official in order to retain business or obtain an improper advantage in any jurisdiction?
☐ Yes  ☐ No
If yes, please provide details:

7.4 Has the Applicant ever been suspended or debarred from doing business in any capacity as a result of fraud, misrepresentation, corruption, bribery, money laundering or any other related activities in any jurisdiction?
☐ Yes  ☐ No
If yes, please provide details:

7.5 Has the Applicant ever made any public disclosures involving fraudulent or corrupt misconduct or improper accounting to any government authority?
☐ Yes  ☐ No
If yes, please provide details:

7.6 Has the Company ever entered into any settlement/paid fine for any offence relating to corruption etc.?
☐ Yes  ☐ No
If yes, please provide details:
8.0 CUSTOMS

8.1 Will the Applicant need to interact with any Public Officials or Government agencies in order to perform the services for MISC under the contract?
- Yes
- No

8.2 Please list the potential Government agencies, and customs duties, impost or other fees, if any that may require payment to obtain such permits, clearances or certifications.

Please list below:

8.3 Will the application of each of the required permits, clearances or certifications listed above be submitted or procured by the Applicant’s employees?
- Yes
- No

8.4 Will the Applicant engage a third party to manage or procure each of the required permits, clearances or certifications listed above? (e.g., shipping agents, freight forwarders, logistics companies)?
- Yes
- No

Please identify the third party that will be engaged for purpose of providing services provided to MISC.

<table>
<thead>
<tr>
<th>Entity Type</th>
<th>Entity Name</th>
<th>Entity Address</th>
<th>Country of Incorporation</th>
<th>Due Diligence Performed?</th>
</tr>
</thead>
</table>

8.5 How is payment made by Applicant for any required customs duties, impost and other fees?

Please select *
- Directly to the relevant Government agency
- Through an Intermediary

8.6 Is it the Applicant’s practice to obtain receipts for customs duties/fees paid, whether paid directly by the Applicant or indirectly by the subcontractor or other third party?
- Yes
- No

8.7 Has the Applicant ever encountered any difficulties in obtaining such receipts?
- Yes
- No

8.8 Is it the Applicant’s policy/practice to provide copies of receipts to the client/customer?
- Yes
- No
9.0 Certification

CERTIFICATION: The undersigned, who is duly authorized and has full legal capacity to complete this Questionnaire on behalf of the Applicant, hereby certifies the matters set forth in this Questionnaire, signs and certifies this document as follows:

a) to the best of my knowledge, all information set forth in this Questionnaire is accurate and complete; and

b) I have read and understood the Data Protection Statement above and expressly consent to the collections, use, processing, storage and transfer of data, including the data about my Applicant, my personally identifiable information and that of other persons that I identify in the Questionnaire, in the manner and for the purposes described in this Questionnaire and in the Data Protection Statement; and

c) The applicant authorizes MISC (directly or indirectly) to take such steps as may be necessary to verify the information provided in this Questionnaire, by the Applicant's references and/or any other person; and

d) I acknowledge and understand that the provision of false or misleading information may result in the termination of any relationship that may be entered into in the future between the Applicant and MISC. Further, I understand that MISC reserves its rights and remedies as may be appropriate in such event.

Do you certify to the above statements? *

☐ Yes  ☐ No

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
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<th>Date</th>
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Attachments
Please attach the following documents, selecting those that have been uploaded:

☐ Organizational Chart
☐ Code of Conduct
☐ Anti-Corruption policy
☐ Certificate of Registration
☐ Certificate of Good Standing
☐ Articles of Association
☐ Business License